

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 30 January 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	8,271,867,502	46.07%	8,466,175,794	47.15%	-194,308,293	-1.08%	
	HNWIs	4,583,930,480	25.53%	4,390,850,035	24.45%	193,080,445	1.08%	
	IPIs	817,232,356	4.55%	970,874,541	5.41%	-153,642,184	-0.86%	
	Individual DPMs	198,892,190	1.11%	266,691,479	1.49%	-67,799,289	-0.38%	
	Sub Total (Individuals)	13,871,922,528	77.26%	14,094,591,849	78.50%	-222,669,321	-1.24%	
	Institutions:							
	Corporates	1,079,869,601	6.01%	837,842,676	4.67%	242,026,926	1.35%	
	Mutual Funds	1,096,722,619	6.11%	947,973,069	5.28%	148,749,550	0.83%	
	GRE's	853,280	0.00%	24,475,197	0.14%	-23,621,917	-0.13%	
	Institution DPMs	305,174,297	1.70%	256,303,693	1.43%	48,870,603	0.27%	
	Sub Total (Institutions)	2,482,619,797	13.83%	2,066,594,635	11.51%	416,025,162	2.32%	
	Total Saudi Investors	16,354,542,325	91.09%	16,161,186,484	90.01%	193,355,841	1.08%	
GCC	Individuals	47,659,836	0.27%	30,196,134	0.17%	17,463,702	0.10%	
	Institutions	212,383,875	1.18%	310,056,373	1.73%	-97,672,498	-0.54%	
	GCC DPMs	1,435,486	0.01%	1,086,760	0.01%	348,726	0.00%	
	Total GCC Investors	261,479,196	1.46%	341,339,267	1.90%	-79,860,070	-0.44%	
Foreign	SWAP Holders	37,604,211	0.21%	64,855,914	0.36%	-27,251,703	-0.15%	
	Foreign Residents & Others	248,612,859	1.38%	231,824,051	1.29%	16,788,809	0.09%	
	QFIs	1,052,303,317	5.86%	1,155,616,342	6.44%	-103,313,025	-0.58%	
	Foreign DPMs	348,035	0.00%	67,886	0.00%	280,149	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	1,338,868,422	7.46%	1,452,364,192	8.09%	-113,495,771	-0.63%	
Grand Total		17,954,889,943	100.00%	17,954,889,943	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,802,819,266	26.75%	4,835,843,929	26.93%	-33,024,663	-0.18%
Non-Institutional	13,152,070,677	73.25%	13,119,046,014	73.07%	33,024,663	0.18%
Grand Total	17,954,889,943	100.00%	17,954,889,943	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 23 January 2020			As of 30 January 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	108,559,493,276	1.23%	8.84%	105,261,483,858	1.20%	9.00%	-3,298,009,418	-0.02%	0.16%
	HNWIs	169,108,642,292	1.91%	13.54%	168,619,713,076	1.93%	13.58%	-488,929,216	0.02%	0.04%
	IPIs	283,041,590,301	3.20%	18.14%	279,956,890,672	3.20%	18.07%	-3,084,699,629	0.00%	-0.07%
	Individual DPMs	5,361,780,923	0.06%	0.44%	5,260,489,779	0.06%	0.44%	-101,291,144	0.00%	0.00%
	Sub Total (Individuals)	566,071,506,792	6.40%	40.96%	559,098,577,385	6.39%	41.09%	-6,972,929,407	-0.01%	0.13%
	Institutions:									
	Corporates	383,456,737,145	4.33%	23.13%	376,109,010,315	4.30%	23.04%	-7,347,726,830	-0.04%	-0.09%
	Mutual Funds	203,993,133,671	2.31%	11.42%	201,516,679,422	2.30%	11.43%	-2,476,454,249	0.00%	0.02%
	GRE's	7,419,666,721,905	83.87%	7.15%	7,345,148,455,634	83.96%	7.12%	-74,518,266,271	0.09%	-0.03%
	Institution DPMs	29,656,633,772	0.34%	2.41%	29,284,621,955	0.33%	2.42%	-372,011,817	0.00%	0.01%
	Sub Total (Institutions)	8,036,773,226,492	90.84%	44.11%	7,952,058,767,326	90.89%	44.02%	-84,714,459,166	0.05%	-0.09%
	Total Saudi Investors	8,602,844,733,284	97.24%	85.06%	8,511,157,344,711	97.28%	85.10%	-91,687,388,573	0.04%	0.04%
GCC	Individuals	2,714,378,767	0.03%	0.22%	2,655,326,036	0.03%	0.22%	-59,052,731	0.00%	0.00%
	Institutions	41,395,889,216	0.47%	2.24%	40,892,878,387	0.47%	2.24%	-503,010,829	0.00%	0.00%
	GCC DPMs	643,530,710	0.01%	0.05%	632,015,540	0.01%	0.05%	-11,515,170	0.00%	0.00%
	Total GCC Investors	44,753,798,693	0.51%	2.52%	44,180,219,963	0.50%	2.52%	-573,578,730	0.00%	0.00%
Foreign	SWAP Holders	3,276,083,199	0.04%	0.27%	3,174,443,086	0.04%	0.27%	-101,640,114	0.00%	0.00%
	Foreign Residents & Others	15,367,541,952	0.17%	0.99%	14,928,055,626	0.17%	0.98%	-439,486,325	0.00%	-0.01%
	QFI's	135,595,702,167	1.53%	11.16%	133,079,755,632	1.52%	11.13%	-2,515,946,535	-0.01%	-0.02%
	Foreign DPMs	19,593,624	0.00%	0.00%	19,716,157	0.00%	0.00%	122,532	0.00%	0.00%
	Strategic Investors	45,183,112,568	0.51%	0.00%	42,306,038,034	0.48%	0.00%	-2,877,074,535	-0.03%	0.00%
	Total Foreign Investors	199,442,033,511	2.25%	12.42%	193,508,008,535	2.21%	12.38%	-5,934,024,976	-0.04%	-0.04%
Grand Total		8,847,040,565,488	100.00%	100.00%	8,748,845,573,209	100.00%	100.00%	-98,194,992,280		

* Non deposited shares for Saudi Cable Co. 2110 (Corporate Action - Capital Increase-Right issue) was not reflected on 30 January 2020

* Non deposited shares for AI Sorayal Trading and Industrial Group 1213 (Corporate Action - Capital Increase-Right issue) was not reflected on 30 January 2020

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 23 January 2020			As of 30 January 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,559,464,414,662	96.66%	76.41%	8,465,361,511,984	96.67%	76.21%	-94,102,902,678	0.01%	-0.19%
Non-Institutional	287,576,150,826	3.34%	23.59%	283,484,061,225	3.33%	23.79%	-4,092,089,601	-0.01%	0.19%
Grand Total	8,847,040,565,488	100.00%	100.00%	8,748,845,573,209	100.00%	100.00%	-98,194,992,280		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	48,347,661	95.49%	49,475,999	97.72%	-1,128,338	-2.23%
	Corporates	523,000	1.03%	353,756	0.70%	169,244	0.33%
	Institution	51,500	0.10%	0	0.00%	51,500	0.10%
	Total Saudi Investors	48,922,161	96.63%	49,829,755	98.42%	-907,594	-1.79%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,708,399	3.37%	800,805	1.58%	907,594	1.79%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,708,399	3.37%	800,805	1.58%	907,594	1.79%
Grand Total		50,630,560	100.00%	50,630,560	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	574,500	1.13%	353,756	0.70%	220,744	0.44%
Non-Institutional	50,056,060	98.87%	50,276,804	99.30%	-220,744	-0.44%
Grand Total	50,630,560	100.00%	50,630,560	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 23 January 2020			As of 30 January 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,284,131,432	96.32%	99.04%	2,261,850,173	96.28%	98.99%	-22,281,259	-0.04%	-0.05%
	Corporates	81,517,749	3.44%	0.18%	81,270,231	3.46%	0.17%	-247,518	0.02%	-0.01%
	Institution	2,291,650	0.10%	0.31%	2,309,670	0.10%	0.31%	18,020	0.00%	0.00%
	Total Saudi Investors	2,367,940,830	99.86%	99.53%	2,345,430,073	99.84%	99.47%	-22,510,757	-0.02%	-0.07%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	3,409,170	0.14%	0.47%	3,869,927	0.16%	0.53%	460,757	0.02%	0.07%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,409,170	0.14%	0.47%	3,869,927	0.16%	0.53%	460,757	0.02%	0.07%
Grand Total		2,371,350,000	100.00%	100.00%	2,349,300,000	100.00%	100.00%	-22,050,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 23 January 2020			As of 30 January 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	83,809,399	3.53%	0.49%	83,579,900	3.56%	0.48%	-229,498	0.02%	-0.02%
Non-Institutional	2,287,540,601	96.47%	99.51%	2,265,720,100	96.44%	99.52%	-21,820,502	-0.02%	0.02%
Grand Total	2,371,350,000	100%	100%	2,349,300,000	100%	100%	-22,050,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

