

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 03 October 2019



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,008,200,819	33.58%	5,658,387,025	37.94%	-650,186,206	-4.36%
	HNWIs	3,589,874,011	24.07%	3,733,515,526	25.03%	-143,641,515	-0.96%
	IPIs	272,962,769	1.83%	418,720,637	2.81%	-145,757,867	-0.98%
	Individual DPMs	331,580,569	2.22%	152,500,318	1.02%	179,080,251	1.20%
	Sub Total (Individuals)	9,202,618,169	61.70%	9,963,123,506	66.80%	-760,505,337	-5.10%
	Institutions:						
	Corporates	1,220,446,904	8.18%	944,072,203	6.33%	276,374,701	1.85%
	Mutual Funds	794,561,373	5.33%	498,380,734	3.34%	296,180,640	1.99%
	GRE's	308,995	0.00%	90,317,773	0.61%	-90,008,778	-0.60%
	Institution DPMs	302,711,118	2.03%	827,251,821	5.55%	-524,540,702	-3.52%
	Sub Total (Institutions)	2,318,028,391	15.54%	2,360,022,530	15.82%	-41,994,139	-0.28%
	Total Saudi Investors	11,520,646,559	77.24%	12,323,146,036	82.62%	-802,499,477	-5.38%
	GCC	Individuals	24,371,379	0.16%	68,589,448	0.46%	-44,218,069
Institutions		200,732,437	1.35%	300,140,479	2.01%	-99,408,042	-0.67%
GCC DPMs		555,810	0.00%	4,290,293	0.03%	-3,734,483	-0.03%
Total GCC Investors		225,659,626	1.51%	373,020,219	2.50%	-147,360,594	-0.99%
Foreign	SWAP Holders	123,819,187	0.83%	29,628,751	0.20%	94,190,436	0.63%
	Foreign Residents & Others	203,543,409	1.36%	170,745,210	1.14%	32,798,199	0.22%
	QFIs	2,840,852,770	19.05%	2,018,213,667	13.53%	822,639,103	5.52%
	Foreign DPMs	1,064,303	0.01%	831,971	0.01%	232,332	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	3,169,279,670	21.25%	2,219,419,599	14.88%	949,860,070	6.37%
Grand Total		14,915,585,854	100.00%	14,915,585,854	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,089,596,236	40.83%	5,284,348,646	35.43%	805,247,591	5.40%
Non-Institutional	8,825,989,618	59.17%	9,631,237,209	64.57%	-805,247,591	-5.40%
Grand Total	14,915,585,854	100.00%	14,915,585,854	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 26 September 2019		As of 03 October 2019		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	73,029,478,240	3.85%	70,415,262,941	3.77%	-2,614,215,299	-0.08%	
	HNWIs	145,218,691,479	7.66%	144,135,771,250	7.72%	-1,082,920,229	0.06%	
	IPIs	262,289,188,471	13.83%	260,220,453,362	13.94%	-2,068,735,109	0.11%	
	Individual DPMs	4,249,246,564	0.22%	4,359,476,842	0.23%	110,230,278	0.01%	
	Sub Total (Individuals)	484,786,604,753	25.56%	479,130,964,394	25.66%	-5,655,640,359	0.10%	
	Institutions:							
	Corporates	336,138,567,374	17.72%	332,084,977,908	17.79%	-4,053,589,466	0.06%	
	Mutual Funds	191,037,844,824	10.07%	188,561,623,808	10.10%	-2,476,221,017	0.03%	
	GRE's	652,842,023,458	34.42%	638,208,078,403	34.18%	-14,633,945,055	-0.24%	
	Institution DPMs	34,784,003,606	1.83%	33,815,975,495	1.81%	-968,028,110	-0.02%	
Sub Total (Institutions)	1,214,802,439,262	64.06%	1,192,670,655,614	63.88%	-22,131,783,648	-0.18%		
Total Saudi Investors	1,699,589,044,015	89.62%	1,671,801,620,007	89.54%	-27,787,424,008	-0.07%		
GCC	Individuals	2,441,669,637	0.13%	2,378,758,303	0.13%	-62,911,334	0.00%	
	Institutions	31,882,469,237	1.68%	31,518,919,936	1.69%	-363,549,301	0.01%	
	GCC DPMs	569,937,176	0.03%	564,550,313	0.03%	-5,386,863	0.00%	
	Total GCC Investors	34,894,076,050	1.84%	34,462,228,552	1.85%	-431,847,498	0.01%	
Foreign	SWAP Holders	3,077,294,200	0.16%	3,116,501,865	0.17%	39,207,665	0.00%	
	Foreign Residents & Others	22,018,212,174	1.16%	15,395,844,537	0.82%	-6,622,367,637	-0.34%	
	QFIs	104,622,490,949	5.52%	103,204,594,524	5.53%	-1,417,896,425	0.01%	
	Foreign DPMs	16,724,378	0.00%	16,995,585	0.00%	271,207	0.00%	
	Strategic Investor	32,228,341,004	2.58%	39,002,378,335	2.09%	6,774,037,331	-0.49%	
Total Foreign Investors	161,963,062,704	8.54%	160,736,314,846	8.61%	-1,226,747,858	0.07%		
Grand Total		1,896,446,182,769	100.00%	1,867,000,163,405	100.00%	-29,446,019,364		

Due to the newly introduced investor type "foreign strategic investors", the current foreign residents has been reclassified to include "Foreign Residents & Others"

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 26 September 2019		As of 03 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,670,358,255,299	88.08%	1,644,696,568,570	88.09%	-25,661,686,729	0.01%
Non-Institutional	226,087,927,470	11.92%	222,303,594,835	11.91%	-3,784,332,635	-0.01%
Grand Total	1,896,446,182,769	100.00%	1,867,000,163,405	100.00%	-29,446,019,364	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	4,838,833	79.48%	4,669,126	76.69%	169,707	2.79%
	Corporates	863,994	14.19%	799,978	13.14%	64,016	1.05%
	Institution	17,000	0.28%	97,302	1.60%	-80,302	-1.32%
	Total Saudi Investors	5,719,827	93.95%	5,566,406	91.43%	153,421	2.52%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	28,795	0.47%	145,000	2.38%	-116,205	-1.91%
	Total GCC Investors	28,795	0.47%	145,000	2.38%	-116,205	-1.91%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	41,108	0.68%	376,860	6.19%	-335,752	-5.51%
	QFIs	298,537	4.90%	0	0.00%	298,537	4.90%
	Total Foreign Investors	339,644	5.58%	376,860	6.19%	-37,216	-0.61%
Grand Total		6,088,266	100.00%	6,088,266	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,208,326	19.85%	1,042,280	17.12%	166,045	2.73%
Non-Institutional	4,879,941	80.15%	5,045,986	82.88%	-166,045	-2.73%
Grand Total	6,088,266	100.00%	6,088,266	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 26 September 2019		As of 03 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,332,453,291	95.56%	4,393,404,375	95.57%	60,951,084	0.02%
	Corporates	128,743,003	2.84%	128,444,231	2.79%	-298,772	-0.05%
	Institution	40,660,628	0.90%	42,315,804	0.92%	1,655,176	0.02%
	Total Saudi Investors	4,501,856,922	99.29%	4,564,164,410	99.29%	62,307,489	-0.01%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	11,793,692	0.26%	12,082,453	0.26%	288,761	0.00%
	Total GCC Investors	11,793,692	0.26%	12,082,453	0.26%	288,761	0.00%
Foreign	SWAP Holders	134,070	0.00%	154,872	0.00%	20,802	0.00%
	Foreign Residents	4,796,529	0.11%	4,266,646	0.09%	-529,884	-0.01%
	QFIs	15,309,431	0.34%	16,246,191	0.35%	936,760	0.02%
	Total Foreign Investors	20,240,030	0.45%	20,667,709	0.45%	427,679	0.00%
Grand Total		4,533,890,644	100.00%	4,596,914,572	100.00%	63,023,928	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 26 September 2019		As of 03 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	196,640,824	4.34%	199,243,551	4.33%	2,602,728	0.00%
Non-Institutional	4,337,249,820	95.66%	4,397,671,021	95.67%	60,421,200	0.00%
Grand Total	4,533,890,644	100.00%	4,596,914,572	100.00%	63,023,928	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

