

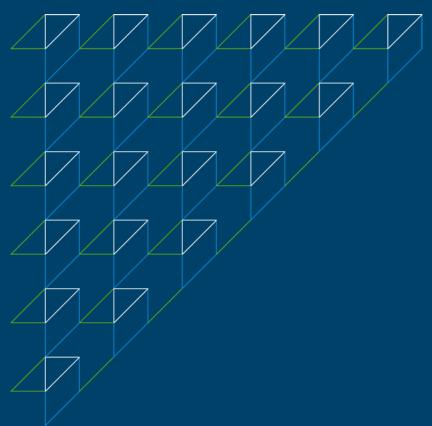
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 9 November 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report



Definitions

Nationality	Investor Type	Description
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
Foreign	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFls	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

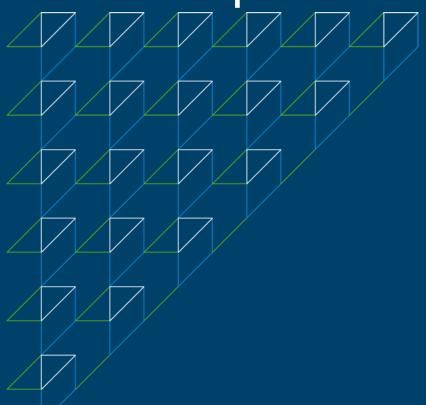
*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown





Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys SAR % of Total Sells (% Buy - % Sell) (Buy - Sell) Individuals: Retail 7,009,613,581 31.10% 9,188,473,129 40.77% -2,178,859,548 -9.67% **HNWIs** 6,621,084,085 29.38% 31.94% -577,866,115 -2.56% 7,198,950,199 **IPIs** 612.672.103 2.72% 1.232.336.033 5.47% -619.663.930 -2.75% Individual DPMs 93.350.447 0.41% 227.491.765 1.01% -134.141.317 -0.60% Sub Total (Individuals) 14,336,720,216 63.61% 17,847,251,126 79.18% -3,510,530,910 -15.58% Institutions: Saudi 9.90% 880.384.198 3.91% 1.351.923.176 6.00% Corporates 2.232.307.375 Mutual Funds 4.521.131.080 20.06% 551.533.736 2.45% 3.969.597.344 17.61% GRE's 42,596,944 0.19% 4,928,168 0.02% 37,668,776 0.17% Institution DPMs 225.371.725 1.00% 217.741.520 0.97% 7.630.205 0.03% Sub Total (Institutions) 7,021,407,124 31.15% 1,654,587,623 7.34% 5,366,819,501 23.81% Total Saudi Investors 21,358,127,340 94.76% 19,501,838,749 86.53% 1,856,288,591 8.24% Individuals 43,822,343 181,385,291 -137,562,948 -0.61% 0.19% 0.80% Institutions 219.009.945 0.97% 852.753.028 3.78% -633.743.083 -2.81% GCC GCC DPMs 561,908 0.00% 0.00% 102,907 0.00% 459,001 Total GCC Investors 263,394,196 1.17% 1.034.597.320 4.59% -771.203.124 -3.42% **SWAP Holders** 158,837,249 0.70% 888,073,934 3.94% -729,236,684 -3.24% Foreign Residents 247.563.950 1.10% 306.180.339 1.36% -58.616.389 -0.26% QFIs 394,844,439 1.75% 751,953,348 3.34% -357,108,909 -1.58% **Foreign** Foreign DPMs 115,985,652 0.51% 56,109,136 0.25% 59,876,516 0.27% Strategic Partners 0 0.00% 0 0.00% 0.00% -1,085,085,467 Total Foreign Investors 917,231,291 4.07% 2,002,316,758 8.88% -4.81% **Grand Total** 22,538,752,827 100.00% 22,538,752,827 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy		Sell		Net Value Traded				
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)		
Institutional	8,616,668,868	38.23%	5,663,763,868	25.13%		2,952,905,000	13.10%		
Non-Institutional	13,922,083,959	61.77%	16,874,988,958	74.87%		-2,952,905,000	-13.10%		
Grand Total	22,538,752,827	100.00%	22,538,752,827	100.00%					

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

تــداوك Tadawul

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type **Weekly Change** As of 2 November 2017 As of 9 November 2017 **Nationality** Investor Type Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (SAR) (%) (SAR) (%) (SAR) (%) Individuals: Retail 69,379,991,544 4.21% 69,161,744,667 4.18% -218,246,876 -0.03% **HNWIs** 130,438,961,473 7.92% 128,762,695,201 7.79% -1,676,266,272 -0.13% **IPIs** 247.720.067.698 15.03% 240.336.870.430 14.54% -7.383.197.267 -0.49% Individual DPMs 4.643.898.922 0.28% 4.558.593.404 0.28% -85.305.518 -0.01% Sub Total (Individuals) 452,182,919,636 27.44% 442,819,903,702 26.79% -9,363,015,933 -0.65% Institutions: Saudi -1,306,801,525 269.440.014.837 16.35% 268.133.213.312 16.22% -0.13% Corporates Mutual Funds 164.579.512.696 9.99% 168.012.987.243 10.16% 3.433.474.546 0.18% GRE's 609,335,708,258 36.98% 622,350,721,199 37.65% 13,015,012,941 0.67% Institution DPMs 48 559 778 198 2.95% 48 394 911 975 2.93% -164.866.223 -0.02% Sub Total (Institutions) 1,091,915,013,990 66.26% 1,106,891,833,728 66.97% 14,976,819,738 0.70% Total Saudi Investors 1,544,097,933,626 93.70% 1,549,711,737,431 93.76% 5,613,803,805 0.05% Individuals 2,844,055,701 0.17% 2,744,777,973 -0.01% 0.17% -99,277,727 Institutions 31.020.218.175 1.88% 29.822.470.719 1.80% -1.197.747.456 -0.08% **GCC** GCC DPMs 0.03% 0.03% 2,658,682 0.00% 537,480,447 540,139,129 Total GCC Investors 34.401.754.323 2.09% 33.107.387.821 2.00% -1.294.366.502 -0.08% **SWAP Holders** 9,766,987,447 0.59% 9,267,725,674 0.56% -499,261,773 -0.03% 0.00% Foreign Residents 4.121.619.004 0.25% 4.106.270.125 0.25% -15.348.879 **QFIs** 7,127,800,542 0.43% 6,809,230,910 0.41% -318,569,633 -0.02% **Foreign** Foreign DPMs 2,904,428,710 0.18% 2,955,382,010 0.18% 50,953,300 0.00% 2.76% Strategic Partners 45.431.376.721 46.927.158.242 2.84% 1.495.781.522 0.08% Total Foreign Investors 69,352,212,423 4.21% 70,065,766,961 4.24% 713,554,537 0.03% **Grand Total** 1,647,851,900,372 100.00% 1,652,884,892,213 5,032,991,841 100.00%



Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
	As of 2 November 2017		As of 9 November 2017		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)		∆ Holding Value (SAR)	∆ Ownership (%)		
Institutional	1,441,067,272,651	87.45%	1,448,109,404,246	87.61%		7,042,131,595	0.16%		
Non-Institutional	206,784,627,721	12.55%	204,775,487,966	12.39%		-2,009,139,754	-0.16%		
Grand Total	1,647,851,900,372	100.00%	1,652,884,892,213	100.00%		5,032,991,841			

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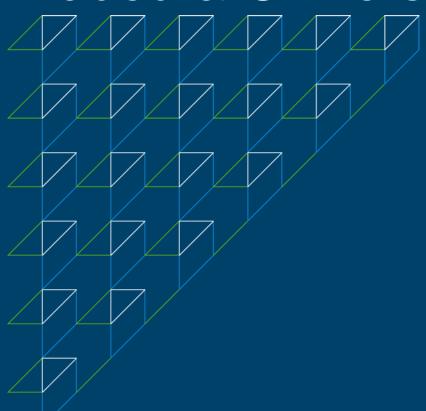
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market



-5.58%

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-P	arallel Market Va	lue Traded E	Breakdown -	- By Nationa	lity and Inves	stor Type	
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell
	Individuals	5,306,950	59.64%	5,818,584	65.39%	-511,634	-5.75%
0	Corporates	2,940,653	33.05%	0	0.00%	2,940,653	33.05%
Saudi	Institution	278,426	3.13%	3,035,281	34.11%	-2,756,855	-30.98%
	Total Saudi Investors	8,526,029	95.82%	8,853,866	99.51%	-327,836	-3.68%
	Individuals	0	0.00%	0	0.00%	0	0.00%
GCC	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
F	Foreign Residents	58,569	0.66%	43,778	0.49%	14,790	0.17%
Foreign	QFIs	313,046	3.52%	0	0.00%	313,046	3.52%
	Total Foreign Investors	371,615	4.18%	43,778	0.49%	327,836	3.68%
Grand T	otal	8,897,644	100.00%	8,897,644	100.00%		
Nomu-Pa	rallel Market Value	Traded Break	down - By Inv	estor Classific	cation		
Investor Classification		Buy	y	Sel	ı	Net Value	Traded
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell
Institutional		3,532,125	39.70%	3,035,281	34.11%	496,844	5.58%

Institutional:

Non-Institutional

Grand Total

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

5,862,363

8,897,644

60.30%

100.00%

65.89%

100.00%

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

5,365,519

8,897,644

Weekly Report – Nomu-Parallel Market



Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 2 November 2017		As of 9 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,989,049,307	88.55%	1,867,564,556	88.53%	-121,484,750	-0.02%
	Corporates	121,697,828	5.42%	115,256,544	5.46%	-6,441,283	0.05%
	Institution	115,475,854	5.14%	107,321,275	5.09%	-8,154,580	-0.05%
	Total Saudi Investors	2,226,222,988	99.11%	2,090,142,375	99.08%	-136,080,613	-0.03%
	Individuals	29,102	0.00%	26,770	0.00%	-2,332	0.00%
GCC	Institutions	13,394,846	0.60%	12,908,865	0.61%	-485,981	0.02%
	Total GCC Investors	13,423,948	0.60%	12,935,635	0.61%	-488,313	0.02%
	SWAP Holders	196,735	0.01%	191,436	0.01%	-5,299	0.00%
Foreign	Foreign Residents	3,470,021	0.15%	3,236,586	0.15%	-233,435	0.00%
	QFls	2,921,379	0.13%	2,993,563	0.14%	72,185	0.01%
	Total Foreign Investors	6,588,134	0.29%	6,421,585	0.30%	-166,549	0.01%
Frand T	otal	2,246,235,070	100.00%	2,109,499,595	100.00%	-136,735,475	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 2 November 2017		As of 9 November 2017		Weekly Change				
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)			
Institutional	253,686,641	11.29%	238,671,683	11.31%	-15,014,958	0.02%			
Non-Institutional	1,992,548,429	88.71%	1,870,827,912	88.69%	-121,720,517	-0.02%			
Grand Total	2,246,235,070	100.00%	2,109,499,595	100.00%	-136,735,475				

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



