

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 9 November 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	7,009,613,581	31.10%	9,188,473,129	40.77%	-2,178,859,548	-9.67%
	HNWIs	6,621,084,085	29.38%	7,198,950,199	31.94%	-577,866,115	-2.56%
	IPIs	612,672,103	2.72%	1,232,336,033	5.47%	-619,663,930	-2.75%
	Individual DPMs	93,350,447	0.41%	227,491,765	1.01%	-134,141,317	-0.60%
	Sub Total (Individuals)	14,336,720,216	63.61%	17,847,251,126	79.18%	-3,510,530,910	-15.58%
	Institutions:						
	Corporates	2,232,307,375	9.90%	880,384,198	3.91%	1,351,923,176	6.00%
	Mutual Funds	4,521,131,080	20.06%	551,533,736	2.45%	3,969,597,344	17.61%
	GRE's	42,596,944	0.19%	4,928,168	0.02%	37,668,776	0.17%
	Institution DPMs	225,371,725	1.00%	217,741,520	0.97%	7,630,205	0.03%
	Sub Total (Institutions)	7,021,407,124	31.15%	1,654,587,623	7.34%	5,366,819,501	23.81%
	Total Saudi Investors	21,358,127,340	94.76%	19,501,838,749	86.53%	1,856,288,591	8.24%
GCC	Individuals	43,822,343	0.19%	181,385,291	0.80%	-137,562,948	-0.61%
	Institutions	219,009,945	0.97%	852,753,028	3.78%	-633,743,083	-2.81%
	GCC DPMs	561,908	0.00%	459,001	0.00%	102,907	0.00%
	Total GCC Investors	263,394,196	1.17%	1,034,597,320	4.59%	-771,203,124	-3.42%
Foreign	SWAP Holders	158,837,249	0.70%	888,073,934	3.94%	-729,236,684	-3.24%
	Foreign Residents	247,563,950	1.10%	306,180,339	1.36%	-58,616,389	-0.26%
	QFIs	394,844,439	1.75%	751,953,348	3.34%	-357,108,909	-1.58%
	Foreign DPMs	115,985,652	0.51%	56,109,136	0.25%	59,876,516	0.27%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	917,231,291	4.07%	2,002,316,758	8.88%	-1,085,085,467	-4.81%
Grand Total		22,538,752,827	100.00%	22,538,752,827	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,616,668,868	38.23%	5,663,763,868	25.13%	2,952,905,000	13.10%
Non-Institutional	13,922,083,959	61.77%	16,874,988,958	74.87%	-2,952,905,000	-13.10%
Grand Total	22,538,752,827	100.00%	22,538,752,827	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 2 November 2017		As of 9 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	69,379,991,544	4.21%	69,161,744,667	4.18%	-218,246,876	-0.03%
	HNWIs	130,438,961,473	7.92%	128,762,695,201	7.79%	-1,676,266,272	-0.13%
	IPIs	247,720,067,698	15.03%	240,336,870,430	14.54%	-7,383,197,267	-0.49%
	Individual DPMs	4,643,898,922	0.28%	4,558,593,404	0.28%	-85,305,518	-0.01%
	Sub Total (Individuals)	452,182,919,636	27.44%	442,819,903,702	26.79%	-9,363,015,933	-0.65%
	Institutions:						
	Corporates	269,440,014,837	16.35%	268,133,213,312	16.22%	-1,306,801,525	-0.13%
	Mutual Funds	164,579,512,696	9.99%	168,012,987,243	10.16%	3,433,474,546	0.18%
	GRE's	609,335,708,258	36.98%	622,350,721,199	37.65%	13,015,012,941	0.67%
	Institution DPMs	48,559,778,198	2.95%	48,394,911,975	2.93%	-164,866,223	-0.02%
	Sub Total (Institutions)	1,091,915,013,990	66.26%	1,106,891,833,728	66.97%	14,976,819,738	0.70%
Total Saudi Investors	1,544,097,933,626	93.70%	1,549,711,737,431	93.76%	5,613,803,805	0.05%	
GCC	Individuals	2,844,055,701	0.17%	2,744,777,973	0.17%	-99,277,727	-0.01%
	Institutions	31,020,218,175	1.88%	29,822,470,719	1.80%	-1,197,747,456	-0.08%
	GCC DPMs	537,480,447	0.03%	540,139,129	0.03%	2,658,682	0.00%
	Total GCC Investors	34,401,754,323	2.09%	33,107,387,821	2.00%	-1,294,366,502	-0.08%
Foreign	SWAP Holders	9,766,987,447	0.59%	9,267,725,674	0.56%	-499,261,773	-0.03%
	Foreign Residents	4,121,619,004	0.25%	4,106,270,125	0.25%	-15,348,879	0.00%
	QFIs	7,127,800,542	0.43%	6,809,230,910	0.41%	-318,569,633	-0.02%
	Foreign DPMs	2,904,428,710	0.18%	2,955,382,010	0.18%	50,953,300	0.00%
	Strategic Partners	45,431,376,721	2.76%	46,927,158,242	2.84%	1,495,781,522	0.08%
	Total Foreign Investors	69,352,212,423	4.21%	70,065,766,961	4.24%	713,554,537	0.03%
Grand Total		1,647,851,900,372	100.00%	1,652,884,892,213	100.00%	5,032,991,841	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 2 November 2017		As of 9 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,441,067,272,651	87.45%	1,448,109,404,246	87.61%	7,042,131,595	0.16%
Non-Institutional	206,784,627,721	12.55%	204,775,487,966	12.39%	-2,009,139,754	-0.16%
Grand Total	1,647,851,900,372	100.00%	1,652,884,892,213	100.00%	5,032,991,841	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,306,950	59.64%	5,818,584	65.39%	-511,634	-5.75%
	Corporates	2,940,653	33.05%	0	0.00%	2,940,653	33.05%
	Institution	278,426	3.13%	3,035,281	34.11%	-2,756,855	-30.98%
	Total Saudi Investors	8,526,029	95.82%	8,853,866	99.51%	-327,836	-3.68%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	58,569	0.66%	43,778	0.49%	14,790	0.17%
	QFIs	313,046	3.52%	0	0.00%	313,046	3.52%
	Total Foreign Investors	371,615	4.18%	43,778	0.49%	327,836	3.68%
Grand Total		8,897,644	100.00%	8,897,644	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,532,125	39.70%	3,035,281	34.11%	496,844	5.58%
Non-Institutional	5,365,519	60.30%	5,862,363	65.89%	-496,844	-5.58%
Grand Total	8,897,644	100.00%	8,897,644	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 2 November 2017		As of 9 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,989,049,307	88.55%	1,867,564,556	88.53%	-121,484,750	-0.02%
	Corporates	121,697,828	5.42%	115,256,544	5.46%	-6,441,283	0.05%
	Institution	115,475,854	5.14%	107,321,275	5.09%	-8,154,580	-0.05%
	Total Saudi Investors	2,226,222,988	99.11%	2,090,142,375	99.08%	-136,080,613	-0.03%
GCC	Individuals	29,102	0.00%	26,770	0.00%	-2,332	0.00%
	Institutions	13,394,846	0.60%	12,908,865	0.61%	-485,981	0.02%
	Total GCC Investors	13,423,948	0.60%	12,935,635	0.61%	-488,313	0.02%
Foreign	SWAP Holders	196,735	0.01%	191,436	0.01%	-5,299	0.00%
	Foreign Residents	3,470,021	0.15%	3,236,586	0.15%	-233,435	0.00%
	QFIs	2,921,379	0.13%	2,993,563	0.14%	72,185	0.01%
	Total Foreign Investors	6,588,134	0.29%	6,421,585	0.30%	-166,549	0.01%
Grand Total		2,246,235,070	100.00%	2,109,499,595	100.00%	-136,735,475	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 2 November 2017		As of 9 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	253,686,641	11.29%	238,671,683	11.31%	-15,014,958	0.02%
Non-Institutional	1,992,548,429	88.71%	1,870,827,912	88.69%	-121,720,517	-0.02%
Grand Total	2,246,235,070	100.00%	2,109,499,595	100.00%	-136,735,475	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

