

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 11 June 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	14,304,934,938	55.25%	15,131,621,318	58.44%	-826,686,380	-3.19%
	HNWIs	5,821,531,648	22.48%	6,204,762,737	23.96%	-383,231,089	-1.48%
	IPIs	421,763,602	1.63%	852,368,131	3.29%	-430,604,529	-1.66%
	Individual DPMs	166,405,014	0.64%	217,198,169	0.84%	-50,793,155	-0.20%
	Sub Total (Individuals)	20,714,635,202	80.00%	22,405,950,354	86.53%	-1,691,315,153	-6.53%
	Institutions:						
	Corporates	1,406,621,377	5.43%	732,688,447	2.83%	673,932,930	2.60%
	Mutual Funds	258,261,659	1.00%	248,997,596	0.96%	9,264,063	0.04%
	GRE's	73,737,648	0.28%	188,507,552	0.73%	-114,769,904	-0.44%
	Institution DPMs	175,780,520	0.68%	185,723,739	0.72%	-9,943,220	-0.04%
Sub Total (Institutions)	1,914,401,204	7.39%	1,355,917,334	5.24%	558,483,870	2.16%	
Total Saudi Investors	22,629,036,406	87.40%	23,761,867,688	91.77%	-1,132,831,282	-4.38%	
GCC	Individuals	65,325,317	0.25%	57,521,268	0.22%	7,804,049	0.03%
	Institutions	247,128,432	0.95%	139,465,795	0.54%	107,662,636	0.42%
	GCC DPMs	3,662,662	0.01%	387,498	0.00%	3,275,164	0.01%
	Total GCC Investors	316,116,410	1.22%	197,374,561	0.76%	118,741,849	0.46%
Foreign	SWAP Holders	40,757,957	0.16%	36,156,942	0.14%	4,601,014	0.02%
	Foreign Residents & Others	458,807,049	1.77%	530,649,869	2.05%	-71,842,820	-0.28%
	QFIs	2,447,512,828	9.45%	1,366,564,324	5.28%	1,080,948,503	4.17%
	Foreign DPMs	486,356	0.00%	103,620	0.00%	382,736	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	2,947,564,190	11.38%	1,933,474,756	7.47%	1,014,089,434	3.92%	
Grand Total		25,892,717,006	100.00%	25,892,717,006	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,242,118,053	20.25%	3,968,161,813	15.33%	1,273,956,240	4.92%
Non-Institutional	20,650,598,952	79.75%	21,924,555,193	84.67%	-1,273,956,240	-4.92%
Grand Total	25,892,717,006	100.00%	25,892,717,006	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 04 June 2020			As of 11 June 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	99,781,136,003	1.22%	9.46%	101,830,493,212	1.24%	9.51%	2,049,357,209	0.02%	0.06%
	HNWIs	144,550,527,260	1.77%	13.53%	146,636,070,818	1.78%	13.54%	2,085,543,558	0.01%	0.01%
	IPIs	249,968,809,336	3.06%	17.53%	253,588,350,061	3.08%	17.46%	3,619,540,724	0.03%	-0.07%
	Individual DPMs	4,951,870,810	0.06%	0.47%	4,956,090,349	0.06%	0.46%	4,219,539	0.00%	-0.01%
	Sub Total (Individuals)	499,252,343,409	6.11%	40.98%	507,011,004,440	6.17%	40.97%	7,758,661,030	0.06%	-0.01%
	Institutions:									
	Corporates	338,757,745,067	4.14%	22.87%	344,143,902,519	4.18%	22.86%	5,386,157,453	0.04%	-0.01%
	Mutual Funds	169,180,106,643	2.07%	11.15%	171,496,100,260	2.09%	11.16%	2,315,993,617	0.02%	0.01%
	GRE's	6,927,202,023,551	84.76%	7.53%	6,958,271,436,001	84.61%	7.47%	31,069,412,450	-0.15%	-0.06%
	Institution DPMs	32,392,112,862	0.40%	3.07%	32,713,182,200	0.40%	3.06%	321,069,338	0.00%	-0.01%
Sub Total (Institutions)	7,467,531,988,123	91.37%	44.62%	7,506,624,620,980	91.28%	44.55%	39,092,632,858	-0.09%	-0.07%	
Total Saudi Investors	7,966,784,331,532	97.48%	85.60%	8,013,635,625,420	97.44%	85.52%	46,851,293,888	-0.03%	-0.08%	
GCC	Individuals	2,182,049,745	0.03%	0.20%	2,224,486,360	0.03%	0.21%	42,436,615	0.00%	0.00%
	Institutions	33,330,462,559	0.41%	1.90%	34,278,544,387	0.42%	1.90%	948,081,829	0.01%	0.00%
	GCC DPMs	686,782,499	0.01%	0.06%	659,539,538	0.01%	0.06%	-27,242,961	0.00%	0.00%
	Total GCC Investors	36,199,294,802	0.44%	2.17%	37,162,570,285	0.45%	2.16%	963,275,483	0.01%	0.00%
Foreign	SWAP Holders	2,119,231,241	0.03%	0.20%	2,183,077,358	0.03%	0.20%	63,846,117	0.00%	0.00%
	Foreign Residents & Others	8,293,999,565	0.10%	0.54%	8,465,174,131	0.10%	0.54%	171,174,567	0.00%	0.00%
	QFIs	121,142,453,038	1.48%	11.34%	123,701,188,039	1.50%	11.42%	2,558,735,001	0.02%	0.08%
	Foreign DPMs	1,593,397,231	0.02%	0.15%	1,629,119,172	0.02%	0.15%	35,721,941	0.00%	0.00%
	Strategic Investors	36,815,187,131	0.45%	0.00%	37,135,503,525	0.45%	0.00%	320,316,394	0.00%	0.00%
	Total Foreign Investors	169,964,268,206	2.08%	12.23%	173,114,062,225	2.11%	12.31%	3,149,794,019	0.03%	0.08%
Grand Total		8,172,947,894,541	100.00%	100.00%	8,223,912,257,930	100.00%	100.00%	50,964,363,389		

* Non deposited shares was not reflected as on 11 June holding value (Saudi Fisheries 6050 - Capital Increase - Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 04 June 2020			As of 11 June 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,920,528,233,278	96.88%	76.27%	7,967,160,429,791	96.85%	76.20%	46,632,196,513	-0.03%	-0.07%
Non-Institutional	252,419,661,263	3.12%	23.73%	256,751,828,139	3.15%	23.80%	4,332,166,876	0.03%	0.07%
Grand Total	8,172,947,894,541	100.00%	100.00%	8,223,912,257,930	100.00%	100.00%	50,964,363,389		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	152,879,048	97.41%	154,362,555	98.36%	-1,483,506	-0.95%
	Corporates	1,233,694	0.79%	835,787	0.53%	397,907	0.25%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	154,112,742	98.20%	155,198,342	98.89%	-1,085,599	-0.69%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	10,454	0.01%	-10,454	-0.01%
	Foreign Residents	2,823,270	1.80%	1,727,216	1.10%	1,096,054	0.70%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,823,270	1.80%	1,737,671	1.11%	1,085,599	0.69%
Grand Total		156,936,012	100.00%	156,936,012	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,233,694	0.79%	846,241	0.54%	387,453	0.25%
Non-Institutional	155,702,318	99.21%	156,089,771	99.46%	-387,453	-0.25%
Grand Total	156,936,012	100.00%	156,936,012	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 04 June 2020			As of 11 June 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,052,119,067	60.03%	93.34%	2,188,669,333	63.80%	94.05%	136,550,266	3.77%	0.71%
	Corporates	1,360,079,518	39.79%	5.90%	1,235,512,502	36.02%	5.23%	-124,567,016	-3.77%	-0.67%
	Institution	2,281,394	0.07%	0.27%	2,248,920	0.07%	0.26%	-32,474	0.00%	-0.01%
	Total Saudi Investors	3,414,479,978	99.88%	99.51%	3,426,430,755	99.89%	99.54%	11,950,776	0.00%	0.03%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	17,495	0.00%	0.00%	0	0.00%	0.00%	-17,495	0.00%	0.00%
	Foreign Residents	3,952,527	0.12%	0.49%	3,869,245	0.11%	0.46%	-83,281	0.00%	-0.03%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,970,022	0.12%	0.49%	3,869,245	0.11%	0.46%	-100,776	0.00%	-0.03%
Grand Total		3,418,450,000	100.00%	100.00%	3,430,300,000	100.00%	100.00%	11,850,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 04 June 2020			As of 11 June 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	1,362,378,406	39.85%	6.17%	1,237,761,422	36.08%	5.49%	-124,616,985	-3.77%	-0.69%
Non-Institutional	2,056,071,594	60.15%	93.83%	2,192,538,578	63.92%	94.51%	136,466,985	3.77%	0.69%
Grand Total	3,418,450,000	100%	100%	3,430,300,000	100%	100%	11,850,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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